Sterne Kessler Goldstein Fox



Robert Greene Sterne Edward J. Kessler Jorge A. Goldstein David K.S. Cornwell Robert W. Esmond Tracy-Gene G. Durkin Michaele A. Cimbala Michaele B. Ray Robert E. Sokohl Eric K. Steffe Michael Q. Lee Steven R. Ludwig John M. Covert Linda E. Alcorn Robert C. Millonig Lawrence B. Bugaisky Donald J. Featherstone Michael V. Messinger Judith U. Kim Timothy J. Shea, Jr. Patrick E. Garrett Jeffrey T. Helvey* Heidi L. Kraus Edward W. Yee Albert L. Ferro* Donald R. Banowit Peter A. Jackman Molly A. McCall Teresa U. Medler Jeffrey S. Weaver Kendrick P. Patterson Vincent L. Capuano Albert J. Fasulo II* Eldora Ellison Floyd Thomas C. Fiala Brian J. Del Buono

Virgil Lee Beaston' Kimberly N. Reddick Theodore A. Wood Elizabeth J. Haames Bruce E. Chalker Joseph S. Ostroff Frank R. Cottingham Christine M. Lhulier Rae Lynn Prengaman Jane Shershenovich' Lawrence J. Carroll' George S. Bardmesser Daniel A. Klein' Rodney G. Maze Jason D. Eisenberg Michael A. Specht' Andrea J. Kamage Tracy L. Muller'

ston" Jon E. Wright '
ddick LuAnne M. Yuricek'
//ood
sames Registered Patent Agents of
ter Karen R. Markowicz
off Nancy J. Leith
gham Ann E. Summerfield
hulier Helene C. Carlson
gaman Gaby L. Longsworth
ovich Matthew J. Dowd

Aaron L. Schwartz Angeliaue G. Uy Boris A. Matvenko Mary B. Tung Katrina Y. Pei Bryan L. Skelton Robert A. Schwartzman John J. Figueroa Timothy A. Doyle Jennifer R. Mahalingappa Teresa A. Colella Jeffrey S. Lundgren Victoria S. Rutherford W. Brian Edge

Senior Counsel Samuel L. Fox Kenneth C. Bass III Lisa A. Dunner

WRITER'S DIRECT NUMBER:

*Admitted only in Maryland *Admitted only in Virginia *Admitted only in Texas *Practice Limited to

(202) 218-7851
INTERNET ADDRESS:
RSCHWART@SKGF.COM

January 7, 2003

Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Utility Patent Application

Appl. No. 09/769,420; Filed: January 26, 2001

For: Substituted Nicotinamides and Analogs as Activators

of Caspases and Inducers of Apoptosis and the Use Thereof

Inventors:

Cai et al.

Our Ref:

1735.0440001/RWE/RAS

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Fee Transmittal (PTO/SB/17);
- 2. Petition For Extension of Time Under 37 C.F.R. § 1.136(a)(1);
- 3. Amendment And Reply Under 37 C.F.R. § 1.116;
- 4. Form PTO-2038 Credit Card Payment Form for \$55.00 to cover a one (1) month extension of time fee; and
- 5. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com

Commissioner for Patents January 7, 2003 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert A. Schwartzman

Agent for Applicants

Registration No. 50,211

RWE/RAS:law Enclosures ::ODMA\MHODMA\SKGF_DC1;90121;1

PTO/SB/17 (10-02) Approved for use through 10/31/2002. OMB 0651-0032 nt and Trademark Office: U.S. DEPARTMENT-OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity stat	tus. See 37 CFR 1.27
TOTAL AMOUNT OF PAYMENT	(\$)

	Complete if Known	70.	\(\frac{1}{2}\)			
Application Number	09/769,420	7	4.			
Filing Date	January 26, 2001	JAN 0	ىبر 2003 7			
First Named Inventor	Sui Xiong Cai	10	<u> </u>			
Examiner Name	McKenzie, T.	T.C.	40			
Group Art Unit	1624	& TR	ADEMAT			
Attorney Docket No.	1735.0440001/RWE/RAS					

TOTAL AMOUNT OF PAYMENT (\$) Attorney Docket No. 1735.0440001/RWE/RAS				RAS					
METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)								
		3. ADDITIONAL FEES							
☐ Check 🏿 Credit card ☐ Money Order 🔻 Other** ☐ None	Large Entity Small Entity								
Deposit Account Deposit Account Number 19-0036		ee Fee Fee Fee Fee Description ode (\$) Code (\$)			on				
		(Ψ)	•	(4)				Fee Paid	
Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.	1051	130	2051	65	Surcharge - late filing for	ee or oath			
The Commissioner is authorized to: (check all that apply)		50	2052	25	Surcharge-late provision sheet	nal filing fee	or cover		
Charge fee(s) indicated below Credit any over payments	1053	130	1053	130	Non-English specificati	on			
Charge any additional fee(s) during the pendency of this application		2,520	1812	2,520	For filing a request for	ex parte reex	amination		
Charge fee(s) indicated below, except for the filing fee to the above-	1804	920*	1804	920*	Requesting publication action	of SIR prior	to Examiner		
identified deposit account. ** Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.		1,840*	1805	1,840*	Requesting publication action	of SIR after	Examiner		
To a dia di balan na bapasan nasaan na saasa.	1251	110	2251	55	Extension for reply with	nin first month	ו	55.00	
	1252	410	2252	205	Extension for reply with	in second m	onth		
FEE CALCULATION	1253	930	2253	465	Extension for reply with	in third mont	th	-	
1. BASIC FILING FEE	1254	1,450	2254	725	Extension for reply with	nin fourth mo	nth		
Large Entity Small Entity	1255	1,970	2255	985	Extension for reply with	nin fifth month	י		
Fee Fee Fee Fee Fee Description Fee Paid	1401	320	2401	160	Notice of Appeal	•			
Code (\$) Code (\$)	1402	320	2402	160	Filing a brief in support	of an appea	ı		
1001 750 2001 375 Utility filing fee 1002 330 2002 165 Design filing fee	1403	280	2403	140	Request for oral hearing	Request for oral hearing			
1003 520 2003 260 Plant filing fee	1451	1,510	1451	1,510	Petition to institute a pu	ıblic use prod	ceeding		
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1105 160 2005 80 Provisional filing fee	1453	1,300	2453	650	Petition to revive - unin	tentional		四	
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from				200	Design issue rec		3		
Extra below Fee Paid	1503	630	2503	315	Plant issue fee		<u>%</u>		
Total Claims 20** = X =	1460	130	1460	130	Petitions to the Commi	ssioner	_8		
	1807	50	1807	50	Processing fee under 3	37 CFR 1.17((q)		
Multiple Dependent =		180	1806	180	Submission of Informat	tion Disclosu	 re Stmt		
Large Entity Small Entity Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)				
1202 18 2202 9 Claims in excess of 20	1809	750	2809	375	Filing a submission after				
1201 84 2201 42 Independent claims in excess of 3	4040	750	2040	275	1.129(a))				
1203 280 2203 140 Multiple dependent claim, if not paid 1204 84 2204 42 **Reissue independent claims	1810	750	2810	375	For each additional inv (37 CFR 1.129(b))	ention to be	exammed		
1204 84 2204 42 **Reissue independent claims over original patent	1801	750	2801	375	Request for Continued	Examination	(RCE)		
1205 18 2205 9 **Reissue claims in excess of 20	1802	900	1802	900	Request for expedited application	examination	of a design		
and over original patent	Othor	fee (spe	cifu)		application				
SUBTOTAL (2) (\$) <u>-0-</u>									
**or number previously paid, if greater; For Reissue, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)55.00									
SUBMITTED BY						Complete	(if applicable))	
Name (Print/Type) Robert A. Schwartzman	Regist (Attorne)	tration N	o.		50,211	Telephone	202-371	-2600	
Signature attachment						Date	January 7	, 2003	

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